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PTO/SB/21 (05-03) (AW 07-03) Approved for use through 04/30/2003. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 5 refs.

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Application Number	09/657,041	
Filing Date	9/5/2000	
First Named Inventor	Leonard Pinchuk et al.	
Art Unit	3731	1
Examiner Name	Michael H. Thaler	4116
Attorney Docket No.	BSI-430US8	

ENCLOSURES (Check all that apply)									
	Fee Transmittal Form Fee Attached			ving(s) nsing-related Papers		After Allowance Communication to Group			
	Amendment/Reply After Final Affidavits/Declarate Extension of Time Reque Express Abandonment R Information Disclosure S Certified Copy of Priority	est Request Statement	Prov Cha Add Terr Req	tion to Convert to a visional Application for Correspondence ress minal Disclaimer uest for Refund		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below): PTO -1449 (2 pages); 4 cited references; Search Report			
	Response to Missing Par Incomplete Application  Response to Missi 37 CFR 1.52 or 1.5	ing Parts under	Remarks:			RECEIVED JUL 2 3 2004			
	SIGNATURE OF APPLICANT, ATTORNEY OR AGENT TECHNOLOGY CENTER 3700								
Firm or Individual Jonathan H. Spadt			Registration No. (Attorney/Agent) 45,122		45,122				
Signa		- told							
Date	Date 7/15/2004								
CERTIFICATE OF TRANSMISSION / MAILING									
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,  VA 22313-1450 on this date:  7/15/2004									
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		nise Morgan	-						

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/657,041

Applicant:

Leonard Pinchuk et al.

Filed:

9/5/2000

Title:

Expandable Supportive Branched Endoluminal Grafts

TC/A.U.:

3731

Examiner:

P.O. Box 1450

Commissioner for Patents

Alexandria, VA 22313-1450

Michael H. Thaler

## **INFORMATION DISCLOSURE STATEMENT**

RECEIVED

JUL 2 3 2004

TECHNOLOGY CENTER 3700

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

## **STATEMENT**

The undersigned hereby states that

⊠ each	item of information disclosed in the Information Disclosure Statement was
first cited in any co	mmunication from a foreign patent office in a counterpart foreign application
not more than three	e months prior to the filing of the Information Disclosure Statement.
no ite	em of information contained in the Information Disclosure Statement was
cited in a communic	cation from a foreign patent office in a counterpart foreign application, and
to the knowledge of	f the person signing this statement after making reasonable inquiry, no item
of information conta	ained in the Information Disclosure Statement was known to any individual
designated in 37 C.	F.R. § 1.56(c) more than three months before the filing of the Information
Disclosure Stateme	nt.

Respectfully submitted,

Jonathan H. Spadt, Reg. No. 45,122

Attorney for Applicants

JHS/dhm

Enclosures: PTO Form 1449

(4) References Search Report Transmittal Form

Dated: July 15, 2004

P.O. Box 980Valley Forge, PA 19482(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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July 15, 2004

Substitute for Form 1449A/PTO **INFORMATION** STATEMENT BY

Complete if Known 09/657,041 Application Number 9/5/2000 Filing Date Leonard Pinchuk et al. First Named Inventor 3731 Art Unit Michael H. Thaler Examiner Name Attorney Docket No. BSI-430US8

(Use as many sheets as necessary)

SHEET 1 of 2

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	US-4,374,669	02/22/1983	MacGregor	
		US-5,306,286	04/26/1994	Stack et al.	
		US-5,266,073	11/30/1993	Wall	
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					TECHNOLOGY CENTER
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		FOREIGN PA	TENT DOCUMEN	TS		
Examiner Cite Initials* No.		Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>		Applicant of Cited Document		
		WO 95/09586	04/13/1995	Emory University		
						<u> </u>
	<u> </u>		L			l
Examiner Signature				Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with next communication to Applicant.

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Initial of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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PTO/SB/08a (08-03) (AW 10 Approved for use through 7/31/2006. OMB 065-

Substitute for Form 1449B/PTO INFORMATION DISC STATEMENT BY ARE

(Use as many sheets as necessary)

Complete if Known 09/657,041 **Application Number** 9/5/2000 Filing Date Leonard Pinchuk et al. First Named Inventor 3731 Art Unit TEGHNOLOGY CENTER 3700 Michael H. Thaler **Examiner Name** 

**BSI-430US8** 

Date

Considered

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS						
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published	T²				
	Copy of European Search Report for Application No. EP 03 07 5624 dated June 18, 2004					
·						
	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published  Copy of European Search Report for Application No. EP 03 07 5624 dated June 18, 2004				

Attorney Docket No.

Examiner

Signature

considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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